

Atty. Docket No.: 20563/2144B

PATENT

19270 U.S. PTO
10/732869



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
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| Application of: | Rabiner et al. |
| Serial No.: | Not Yet Assigned |
| Filed: | December 10, 2003 |
| Entitled: | Apparatus and Method for Using a Steerable Catheter Device |

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, **Express Mail Label No. EL928103866US** on this date, **December 10, 2003**, postage prepaid, in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

David J. Dykeman

Name of Person Mailing Paper

Signature of Person Mailing Paper

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Enclosed for filing in the above-identified patent application, please find the following documents:

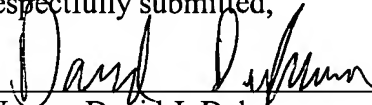
1. New Patent Application Transmittal;
2. Patent Application (16 pages);
3. Transmittal of Formal Drawings;
4. Five (5) sheets of Formal drawings of Figs. 1-12;
5. Copy of the Declaration and Power of Attorney from Parent Application U.S. Serial No. 09/665,328;
6. Copy of Revocation and New Power of Attorney from Parent Application U.S. Serial No. 09/665,328;
7. Application Data Sheet;
8. Information Disclosure Statement;
9. Form PTO 1449 listing 15 references from Parent Applications U.S. Serial Nos. 09/665,328 and 10/462,182;
10. Check in the amount of \$520.00 for the requisite filing fee; and
11. Return Post Card.

Pursuant to 37 C.F.R. § 1.27, Applicant claims small entity status.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 20563/2144B. A duplicate of this transmittal letter is enclosed for this purpose.

Date: December 10, 2003

Respectfully submitted,



Name: David J. Dykeman

Registration No.: 46,678

Customer No.: 29934

Palmer & Dodge LLP

111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100

NEW UNITED STATES UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket No.: 20563/2144B

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David J. Dykeman

Name of Person Mailing Paper

Signature of Person Mailing Paper

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Enclosed herewith is a new patent application of:

First Named Inventor (or application identifier): Rabiner et al.

Title of Invention: Apparatus and Method for Using a Steerable Catheter Device

Type: ☐ New
☒ Continuation of: U.S. Serial No. 10/462,182
☐ Continuation-in-Part of: _____
☐ Divisional of: _____
 which is hereby incorporated by reference in its entirety into the application filed herewith;

and includes the following papers:

1. ☒ Specification 16 pages (including specification, claims, abstract)/35 claims (3 independent)
2. ☒ Declaration/Power of Attorney is:
☐ Executed ☐ Unexecuted ☒ Executed copy from Parent Application
☐ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☒ 5 Distinct sheets of ☒ Formal ☐ Informal Drawings
4. ☒ Application Data Sheet
5. ☐ Preliminary Amendment.
6. ☒ Information Disclosure Statement
☒ Form 1449 ☐ A copy of each cited prior art reference
7. ☐ Assignment with Recordation Cover Sheet.
8. ☒ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

| Country | Application Number | Date of Filing (day, month, year) |
|---------|--------------------|-----------------------------------|
| USA | 60/156,051 | September 24, 1999 |

9. ☒ Priority is hereby claimed under 35 U.S.C. § 120 based upon the following application(s):

| Application Number | Date of Filing (day, month, year) |
|--------------------|-----------------------------------|
| 10/462,182 | June 16, 2003 |
| 09/665,328 | September 19, 2000 |

10. ☐ Priority is hereby claimed under 35 U.S.C. § 121 based upon the following application(s):

| Application Number | Date of Filing (day, month, year) |
|--------------------|-----------------------------------|
|--------------------|-----------------------------------|

NEW UNITED STATES UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket No.: 20563/2144B

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The above applications from which priority is claimed are hereby incorporated in their entirety into the application filed herewith.

- 11. ☐ Priority document(s).
- 12. ☐ Microfiche Computer Program (Appendix).
- 13. ☐ Nucleotide and/or Amino Acid Sequence Submission.
 - ☐ Computer Readable Copy.
 - ☐ Paper Copy (identical to computer copy).
 - ☐ Statement verifying identity of above copies.
- 14. ☐ Request for Non-Publication and Certification under 35 USC 122 (b)(2)(B)(i)
- 15. ☒ Statement of Small Entity Status, Pursuant to 37 C.F.R. §1.27.
- 16. Calculation of Fees:

| FEES FOR | TOTAL CLAIMS | EXCESS CLAIMS | FEE | AMOUNT DUE |
|--|------------------|---------------|----------|-----------------|
| Basic Filing Fee (37 C.F.R. § 1.16(a)) | | | | \$770.00 |
| Total Claims in Excess of 20 (37 C.F.R. § 1.16(c)) | 35 | 15 | \$18.00 | 270.00 |
| Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b)) | 3 | 0 | \$86.00 | 0.00 |
| Multiple Dependent Claims (37 C.F.R. § 1.16(d)) | No | | \$290.00 | 0.00 |
| Subtotal - Filing Fee Due | | | | 1,040.00 |
| Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28) | MULTIPLY BY 0.50 | | | 520.00 |
| TOTAL FILING FEE DUE | | | | 520.00 |
| Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h)) | 0 | | \$40.00 | 0.00 |
| GRAND TOTAL DUE | | | | \$520.00 |

- 17. PAYMENT is:
 - ☒ included in the amount of \$520.00 by our enclosed check. The Commissioner is hereby authorized to charge any deficiencies in the fees to Deposit Account 16-0085, Ref. 20563/2144B.
 - ☐ not included, but deferred under 37 C.F.R. § 1.53(f).

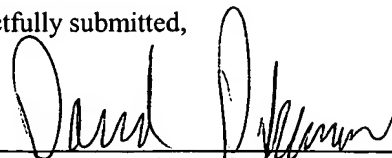
- 18. All correspondence for the attached application should be directed to:

Richard B. Smith
Customer Number 29934
 111 Huntington Avenue
 Boston, MA 02199-7613

- 19. Other: _____

Respectfully submitted,

Date: December 10, 2003

By: 
 Name: David J. Dykeman
 Registration No.: 46,678

Atty. Docket No.: 20563/2144B

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

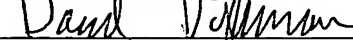
| | | | |
|-----------------|--|-----------------|------------------|
| Application of: | Rabiner et al. | Examiner: | Not Yet Assigned |
| Serial No.: | Not Yet Assigned | Group Art Unit: | Not Yet Assigned |
| Filed: | December 10, 2003 | Conf. No.: | Not Yet Assigned |
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David J. Dykeman

Name of Person Mailing Paper



Signature of Person Mailing Paper

Mail Stop Patent Application
Commissioner for Patents
Attention: Official Draftsperson
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF FORMAL DRAWINGS

Enclosed please find formal drawings of Figures 1 - 12 on 5 separate sheets.

Acceptance of the formal drawings is respectfully requested.

Respectfully submitted,

Date: December 10, 2003



Name: David J. Dykeman

Registration No.: 46,678

Customer No.: 29934

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111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100